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Democracy of S. Korea: Candlelight Revolution (2016~2017)



Gwangju Democratization Movement (1980)

June Resistance (1987)





From the Candle in Square to the Candle of Everyday Life! Systematization of Citizen Participation



PB System of S. Korea: a Short History

- 1991' Local Self-Governance System Revived in 30 Years
- 1995' Local Government Heads Elected
- 1997' Budget Surveillance Movement (by Civil Society)
 Commenced
- 2003' First Introduction by Gwangju North-District Office → limited implementation by some progressive local government
- 2010' Local Government Election, major election pledges of progressive candidates: Eunpyeong District Chairman, the First Pledge
- 2011' Enactment of the Participatory Budgeting System: Local Government's mandatory implementation by Local Finance Act Amendment
- 2018'Scope Expansion of Participatory Budgeting System (Local Finance Act Amendment)
 - : Resident Participation in "Budgeting Process" → Resident Participation in "Entire Budget Process"

PB System of S. Korea: Overview 243 + 1

- Implemented by all 243 Local Governments: Enactment of Regulations by Local Governments
- Implementation of the National PB System (Presidential Pledge, 2017~)

Local Finance Act Amendment on the Residents PB System (18. 3. 27)



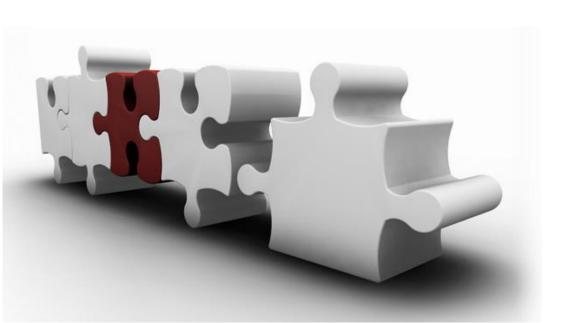
Article 39. (resident participation in the budgeting process such as drawing up local budgets)

- 1 As provided under the Presidential Decree, the heads of local governments shall prepare and implement a system under which residents can participate in the budgeting process such as drawing up local budgets (hereinafter referred to as the "Resident Participatory Budgeting System" in this section).

 <Revised 2015.5.13., 2018.3.27.>
- ② Local government can have a resident participatory budgeting body (hereinafter referred to as "resident participatory budgeting organization") under the head of the local government to review the following matters (Established 2018. 3. 27)



1. Eunpyeong District, Seoul: Overview



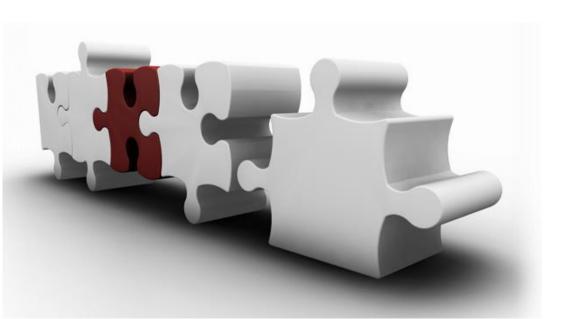
1) Eunpyeong District, Seoul: Overview



Area	29.7km²				
Population	205,001 households, 483,197 persons (as of 2018.12.31.) **Foreigner 6,820 persons				
House	168,840 units				
Administrative Zones	16 Towns(Dong), (561 Tong 4,197 Ban)				
Finance (as of 2019)	Total: \$ 619,166,667 (General: \$ 603,333,333 / Special: \$ 15,833,333)				
Financial Independence	17.5% (23th out of 25 Districts in Seoul)				



2. PB System of Eunpyeong District: Overview



What is PB?

1. Total Budget of Municipality

2. Resident Participation in Budgeting, Execution and Evaluation

1) Eunpyeong District PB

- Vision



- 1. Resident Participation at all process of Budgeting, Execution and Evaluation
- 2. Scope of PB Total Budge of District
- 3. Direct Democracy where residents are key players
- 4. Deliberative Democracy caring for process
- 5. Self Governance and Decentralization led by residents

2) Eunpyeong District PB

- Major Events



roducing PB)
(19'. 10)

3) Eunpyeong District PB

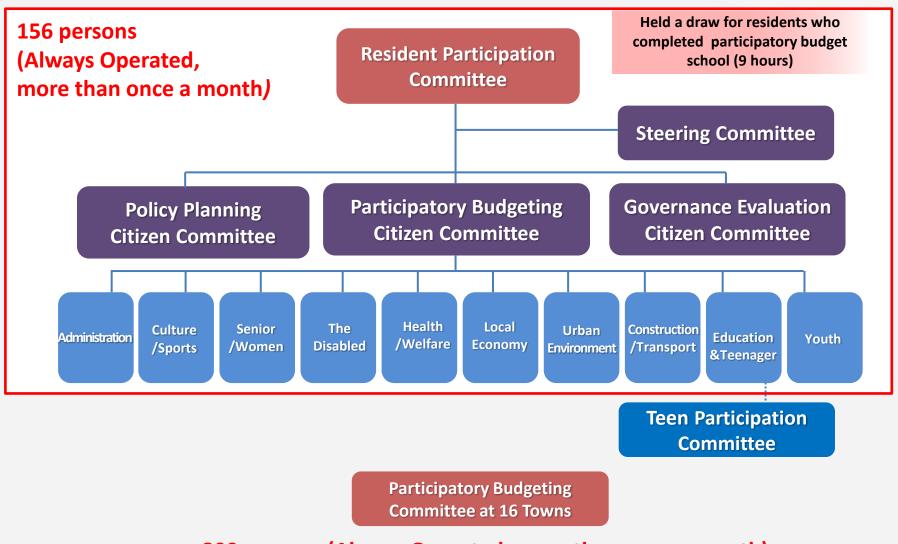
- Scope



Category	Price(USD)	Rate
2019' Total Budget	\$ 619,166,667	-
Resident Review	\$ 475,500,000	76.80%
Resident Proposal· Agenda Selection	\$ 1,333,333	0.22%

1 Resident Participation Organization





800 persons (Always Operated, more than once a month)

② Supporting Body







Annual Budget \$ 250,000

③ Interim Supporting Body(NGO)





Facilitator Training (70 persons)



Resident Participation
Support Unit (19 persons)



Resident Project Proposal Academy (4 times a year)



Resident Project Proposals – Consulting



PB Public Forums Facilitation



PB Meeting Facilitation

4 Space Support



Room for Resident Participation Committee

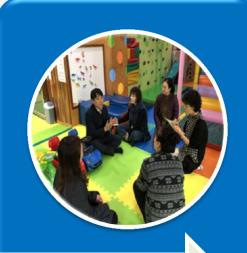


5) Eunpyeong District PB System









Budgeting

- Resident Review of Main Budget
- ▶ Resident Voting
- **▶** Resident Meeting
- **▶** Teenager Meeting
- ► Resident General Meeting (at Town Level)

Execution

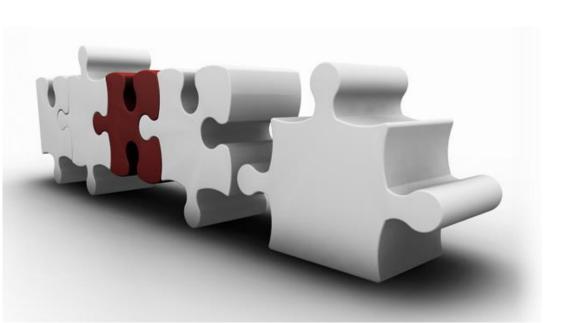
- ► Project Improvement Plans Submitted
- ► Resident Participation in Government-ordered construction
- ► Gathering Opinion on Major Projects
- ► Public-Private TF by Agenda Major Project Monitoring
- ► Resident Project Proposal Monitoring

Evaluation

- **▶** Major Project Monitoring
- Resident ProjectProposal Monitoring
- District Project Termination by Residents (Planning to Implement)

3. Eunpyeong District PB System

: Resident Participation in Budgeting, Execution and Evaluation





1) Resident Review of District Budget

(Resident Opinion Submission)









Resident Opinion on 2019' Main Budget



	Unit		Review					
	(Sub- committee)	Project	Budget	Sub- committee Revision	Increase /Decrease	Opinions and Requests by Sub-committees		
1	Culture and Tourism (cultural and physical education)	Lee Ho Chul Unification Road Literary Prize	\$ 204,167	\$ 190,833	Δ \$ 13,333	 With the increased operating cost of the event (\$ 96,667), it will be meaningful to operate in a variety of colors such as inviting foreign writers, but the increase in the cost compared to last year is somewhat greater. We hope the District make efforts to hold the event within the limit of \$ 83,333 		
2	Sports for All Unit (cultural and physical education)	Supporting Various Athletic Competitions	\$ 205,833	\$ 203,382	Δ \$2,500	 As Golf is a not popular sport, please cut the private event subsidy for Golf by 50% and please run the competition with contestants paying cost of the reduced 50%. Cutting subsidy for Golf is against equity so the whole subsidies for all events should be discussed with the budget team. \$ 2,500 needs to be cut down through budget mediation by unit-budget team considering group opinions. 		

Resident Review applied to the District Budgeting

2018 year Budget

2019 year Budget

334,251,667

475,548,333

6

2

224,167

410,000



4,304,167

6,325,833

33

38

						-		
Category	Reviewed Budget(\$)	Budget Revision					Improvement Suggestion	
		Cases	Request(\$)	Revision(\$)	Increase(\$)	Decrease(\$)	cases	Request(\$)
Total	1,222,589,167	209	31,159,167	18,926,667	550,000	12,782,500	131	30,772,500
2012 year Budget	9,604,167	16	2,671,667	1,509,167	190,833	1,353,333	-	-
2013 year Budget	20,059,167	21	907,500	344,167	17,500	580,833	12	9,564,167
Budget Reduction \$ 12,782,500								
2014 year Budget	33,062,500	119	22,465,000	12,592,500	136,667	10,009,167	2	100,000
2015 year Budget	41,454,167	21	3,542,500	2,842,500	16,667	716,667	25	6,045,833
2016 year Budget	236,704,167	10	325,500	320,000	11,667	44,167	9	2,555,833
2017 year Budget	71,295,000	10	389,167	563,333	174,167	-	11	1,848,333
			1	1	1	1		1

213,333

394,167

2,500

13333

15,833

2) Decision Making by Public Discussion and Deliberation (Residents' Proposals · Agenda Selection)



Agenda at District Level	Agenda for Teens	Agenda at Town Level
Resident Meeting (\$ 750,000)	Teenager Meeting (\$ 83,333)	Resident Meeting at Town Level (\$ 500,000 / \$ 33,333 per Town)



Resident General Meeting for PB











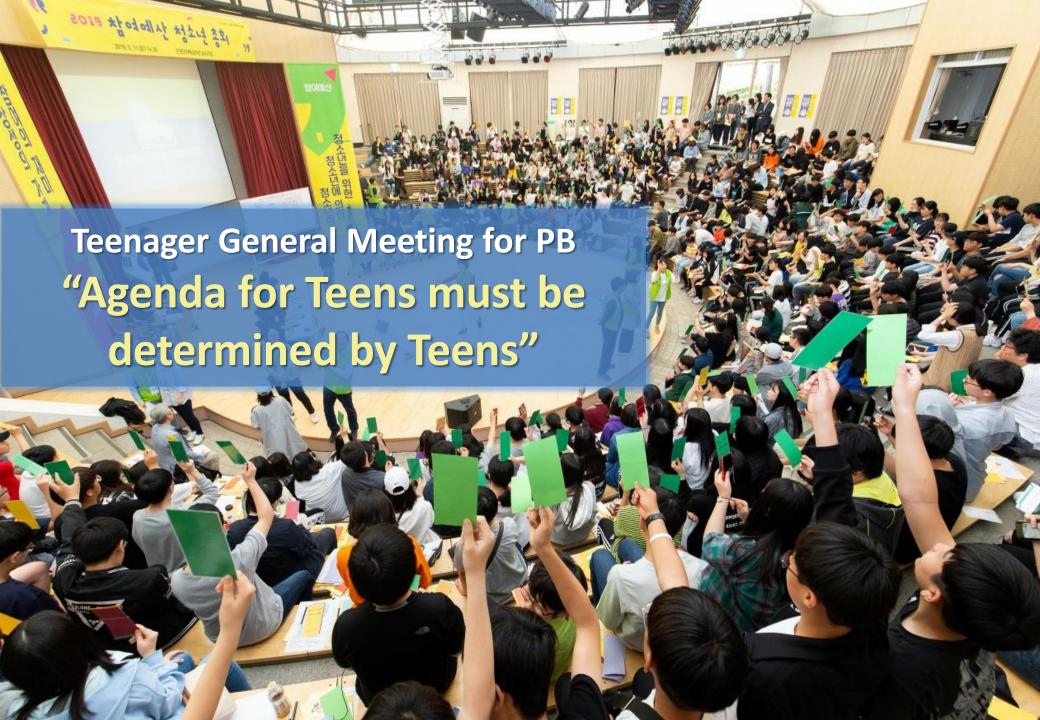
PRE-Voting

Pre-Voting(Online, On Spot)
9,472 persons

Resident General Meeting (Round table meeting)

Voting at Meeting 700 persons

★ Selection: Resident Voting(50%) + Resident Meeting(50%)
 & Higher number of votes in order



Teenager General Meeting





Eunpyeong Style Landsgemeinde where 400 teenagers take part in



Planning-Execution-Evaluation led by Teens



Teenager participation committee commissioning ceremony



Committee



Public Forum

>



Policy Proposal

 \rightarrow



General Meeting



Planning Meeting 뉮



Blue Jacket Festival



Youth
Human Rights
Festival



Splashing Festival



Evaluation Meeting





PB Committee at Town Level

Local Conference Preparation Group



Second Local Conference (Exploring Local Community)



Third Local Conference (Drafting Resident Proposals)



First Local Conference (Education & Operation Plan)



Second Local Conference (Creating Local Agenda Map)



Third Local Conference (Resident Proposals Presentation/Q&A)



First Local Conference (Group Discussion for Making Agenda)



Third Local Conference (Discussion for Local Agenda selection)



Resident General Meeting at Town level



3) From Project Proposal to Agenda Proposal



Full Revision of Resident Proposal System

Lowering threshold of resident participation

3) From Project Proposal to Agenda Proposal

1 Issues and Solutions



Issues of Previous
Resident Project
Proposal System

Solutions

- Requires Completed Project Plan, making it hard for common residents to participate
- ► Mainly some groups and people who can submit Completed Project Plan participated
- ► The quality of Resident Project Proposal was too low

- ➤ Changed from Project Proposal to Agenda Proposal
- ▶ Inducing Agenda Proposals through various Resident Public Forums (Lowering the threshold for participation)
- ➤ Creating Policy Agenda and Policy Project through the process of public-private deliberation (Participatory Governance)

3) From Project Proposal to Agenda Proposal

② Resident Proposal System Reform









Stage 1	Stage 2	Stage 3	Stage 4	Stage 5
Gathering Resident Opinion (Nov~Feb)	Making Policy Agenda (Feb~Mar)	Review & Evaluation of Agenda (Mar~Apr)	Selecting Agenda (Apr~May)	Deliberating Agenda& Creating Projects (May~Sep)
 Public Forum for 16 Towns Public Forum by Topics Public Forum for Teens Resident Proposals Registration 	▶ Classification of Proposals→ Policy Agenda	 Review by Unit Review by PB Committee Mediation of Committee-Unit Difference in Opinion 	 Resident Vote (On·Off line) Resident General Meeting (700 person Roundtable meeting) Teenager General Meeting (Eunpyeong Style Landsgemeinde) 	➤ Formation of Public-private TF team by Agenda → Project plan & Budget



<Stage 1> Gathering Resident Opinion (2018.11~2019. 2) Public Forums & Petition





32 times at 16 Towns

Public Forums by Topics 80 residents participated



Public Forums for Teens
150 Teens participated

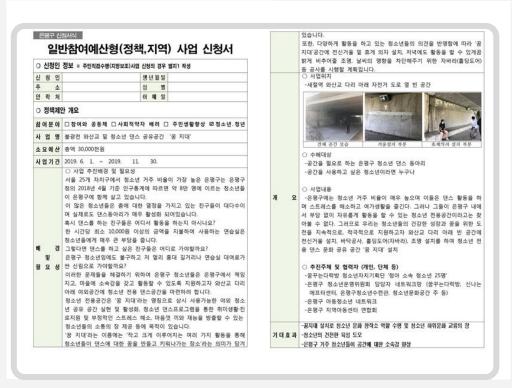


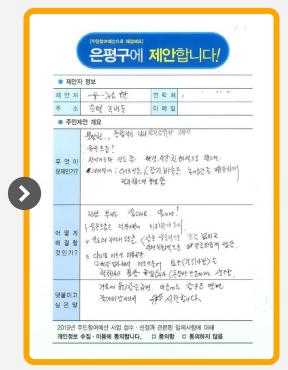


<Stage 1> Gathering Resident Opinion (2018.11~2019. 2) Public Forums & Petition



Enhancement of Resident Proposal System



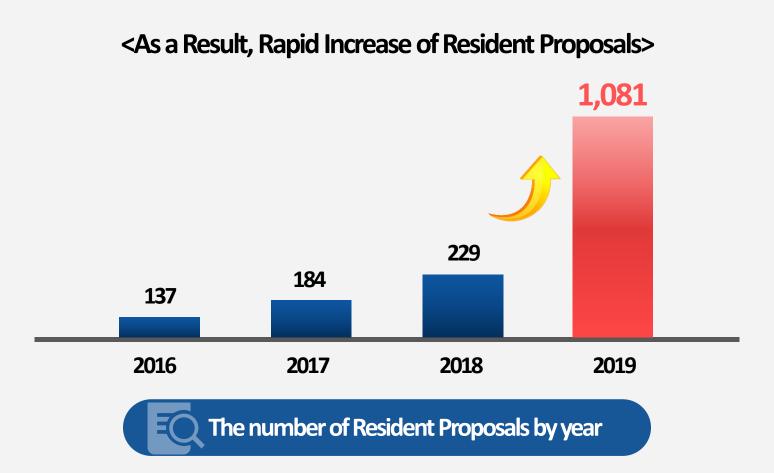


Proposal forms: Before

Proposal forms: After



<Stage 1> Gathering Resident Opinion (2018.11~2019. 2) Public Forums & Petition





<Stage 2> Making Policy Agenda (Feb~Mar) Resident Proposal Classification→Policy Agenda

Gathering opinions with the focus on agenda not project (30 policy agenda)
 Making policy agenda by classifying all projects & ideas

Classification of Proposals

→ Policy Agenda

Projects for Bulgwang stream	Policy Agenda
 Planting cherry trees Maintaining trees Installing fitness machines Installing amenities sewerage maintenance for water quality improvement Creating ecological learning site & ecological education Training Bulgwang stream ecology guide Creating broadcast culture street Making ecology·facility map 	"Establishing the way to preserve and use stream in a sustainable way"



<Stage 3> Review/Evaluation of Agenda (Mar~Apr) Selecting Agenda for Resident General Meeting

► Review by

Unit

- Evaluation by Committee
- Selecting Agenda for Resident General Meeting

Classification into 30 Policy Agenda







<Stage 4> Selecting Agenda(Apr~May) Resident Voting (50%) · Resident General Meeting (50%)

- Resident Voting
- ResidentGeneralMeeting
- ► Teenager General Meeting

- **♀** Resident Voting (4.15 ~ 5.10): 9,472 persons
 - Mobile · Internet Voting
- Resident General Meeting (5.11/Am)
 - 700 persons Round table Meeting
- $\mathbf{\hat{V}}$ Teenager General Meeting (5.11/Pm)
 - 400 persons Eunpyeong Style Landsgemeinde









<Stage 5> Finalizing Projects Deliberating Agenda & Creating Projects

10 Policy Agenda

Selected by resident general meeting

	Category	Agenda
1		Creating family healing place for residents
2		Making safe community through resident participation
3		Improving Eunpyeong Walking trails with residents
4		How to manage companion animals in the community
5	General	Improving convenience of community bus stations
6		Establishing the way to preserve stream in a sustainable way
7		Creating Green Space for community participation
8		Reorganization and utilization of Space for community revitalization
9	Teens	Exploring paths for teens
10		Youth-led physical/cultural activities

Formation of Public-private TF by Agenda(open recruitment)









※ Implementing Projects (2020~)



Public-Private TF Team: a Case



EQ

Creating a Circular City Enupyeong



1) Participatory Budgeting Committee, Proposed the District's Project Improvement Plans



The District Project Improvement Plans Submitted

2017 year Projects	2018 year Projects	2019 year Projects		
49 plans	33 plans	38 plans		
(\$ 1,093,333)	(\$ 4,719,0167)	(\$ 6,325,833)		

Subcommittee opinion on project improvement



연번	연번 부서명 사업명			백 분과위 의견 및 요청사항	비고
<지역경제분과 기타의견>				 보물섬 지도 협동조합 구축 지도앱 제작 시 타지역에도 홍보가 될 수 있는 컨텐츠 포함을 요청함 은평금융복지 상담센터에서 채무예방차원의 교육을 진행할 필요가 있으며, 상담을 진행한 대상자들을 금융기관과 연계하는 실질적 도움도 필요함 	
28		도시재생사업 업무용 차량 렌트 운영	10,72	728 ㅇ 하이브리드 차량 렌탈 고려 요청	도
29	주거 재생과 도시계획 입안, 결정을 위한 예산지원			 2개 신문사 이외의 지역 신문 추가 게재도 고려해 볼 수 있기 바람 현재 게시되는 자료는 행정사항에 대한 글자의 나열로 가독성이 떨어지므로 주민들의 입장에서 쉽게 이해할 수 있는 위치도, 입안내용 등의 시각적 자료도 함께 첨부 되었으면 함 	- 시 환 경 분 과
	Transportation Administration Unit Eunpyeong Bicycle Classroom in 2018			As there are a lot of accidents due to drunk cycling and lack of defensive cycling in alleys, we ask for additional bicycle safety training. Construction Transport Subcomm	ation
31	공원 녹지과	은평 둘레길 조성	90,00	000 ㅇ 서울둘레길 표지판과 중복되지 않게 설치 요청	분 과

2) Government-ordered Construction: mandatory resident participation



Background

Uniformized design and construction by civil servants caused inconvenience and complaint of residents

Customized projects can be implemented for residents to participate in the entire process from design to construction

Construction

Implemented 2013. 2. 1.

District-ordered construction with the budget of more than \$833,333

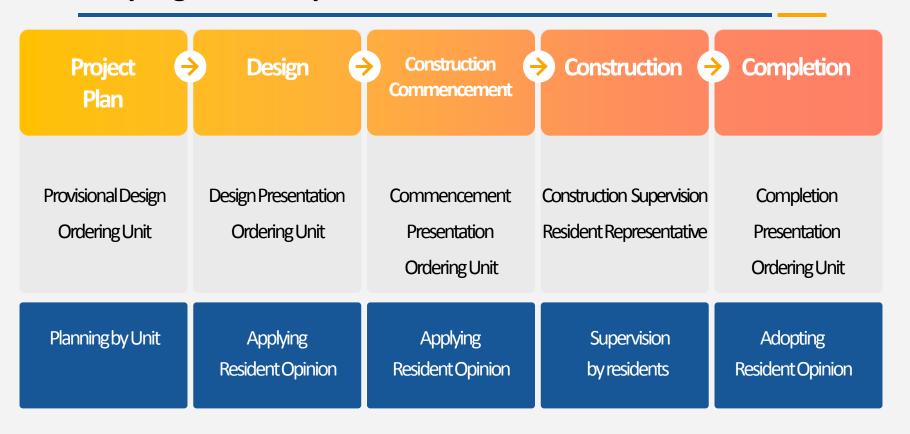




2) Government-ordered Construction : mandatory resident participation



Adopting Resident opinion on Government-ordered Construction



Resident Participation Supervision Manual



Construction Name	Eungam Town 591-1~3 section quadrangle concrete drainpipe replacing construction				
Combractor	Corp.(CEO)	Samyung General Construction Co. Ltd. CEO Whang Gap Sung			
Contractor	Address	201 Mapodaero. Mapogu. Seoul			
Contract Amount	\$ 210,815	\$ 210,815			
Contract Date	2nd Aug. 2018				
Commencement Date	17th Aug. 2018				

When planning and commencing the construction: Resident Opinion

We wish there would be no inconvenience for residents to run their shops and walk their ways while construction is underway.

Result of Opinion Adoption (Ordering Unit)

- To minimize the inconvenience to houses and shopping area during the construction period, sufficient notification was provided before the commencement.
- To ensure no inconvenience for pedestrians and cars during the construction, pedestrian road was secured and assistants for safe driving were placed.
- Other complains from residents were considered.

Resident Participation Supervision Manual is submitted as above.

14th Dec. 2018

Eungam 3 Town Participatory Bugeting Committee Deputy Chairman Name: Lee Kyeong Won (Sig)

Dear Eunpyeong District Chairman

3) Major Projects Plans, Adopting the Opinions of PB Committee



Objective

Encouraging practical participation of residents by adopting resident opinion in the process of project planning

Operating System

implemented 2012. 3. 2.



Adopting Opinions of Participatory Budgeting Committee When Planning Major Projects



2016. Plans for Supporting and Managing Subsidies for Private Organizations

	Opinions of the Participatory Budget Autonomous Administration Unit (2015. 12. 21.)		Results of discussion with the Self-Governing Administration Unit		
	Active promotion of the subsidized	<u>a</u>	Active use of promotional media such as local newspapers rather than		
	private organization projects		the District homepage		
1	Adding projects other than		Adding to 2016 Personmended Projects (Ordinance Article 15.2)		
	recommended projects		Adding to 2016 Recommended Projects (Ordinance Article 15-2)		
	Participation of the applicants in the		Reviewed by Committee after a Project Review Statement		
	grant review process		submitted(Ordinance Article 15-4)		
		<u>a</u>	As to 2015 subsidized projects, they will be carried out around Mar.		
	2015 Subsidiary Project Evaluation and the role of Subsidiary Review Committee		2016 after establishing a plan, based on the local subsidy management		
			ordinance of Eunpyeong District.		
			Review of performance outcome by the Subsidiary Review		
			Committee (Ordinance Article 7-1-2)		

2015. 12.

Eunpyeong District

[Self-Governing Administration Unit]

Check List for Participatory Governance



Plz fill ☐ after reading Review Item (※Comments: write comments on what was discussed where necessary)

Category	Review Items	Review finished	No	Comments
Stage of	Have you gathering the opinions of the citizens from the stage of planning? e.g. Participatory Budgeting Committee, related society, etc			
Planning	Have you gathering the opinions of related unit or team from the stage of planning?			
	Do you have a plan to work with citizens to achieve the objectives and successful result?			
	When working with citizens, are you having in mind public-private discussion sessions and role divisions? e.g. Committee, Association, TF			
Stage of Executing	Have you reviewed the cooperation of related units or teams and role divisions to ensure the achievement of objectives and successful results?			
	Have you reviewed the various ways to ensure resident participation? e.g. Discussion, Presentation, Public hearing			
	Are you planning to share information to invigorate Participatory Governance?			
Stage of Evaluating	Do you have any plans to reflect the evaluation of the process and performance of the projects in the future?			

4) Major Projects and PB Projects Monitoring



Objective

Gathering resident opinion on budget execution and evaluation by dayto-day operation of PB committee

Operating System

Notifying sub-**Providing opinions Data provision** committee **Monitoring Plan Monitoring** \rightarrow on project \rightarrow of opinion & Field guide improvement adoption result Unit in charge Unit in charge **PB Committee PB Committee PB Committee**

Implemented: 2015. 7. 1

Major Projects Monitoring

(2017 year 47 projects / 2018 year 46 projects)









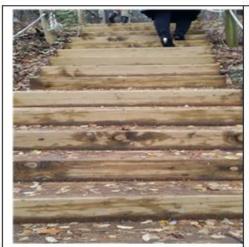






Monitoring Resident Project Proposals 1– Facility Projects









A community park stairs

Daelim market toilet entrance stairs

Nokbun station exit
4 rest area

In front of a wall painting at Gusan-town



Security light at NC department store back alley



CCTV to prevent unauthorized dumping of waste



EM fermented liquid supply tank



Dust discharge machine near Sangshin primary school

Monitoring Resident Project Proposals 2 – Programs





Making Happy Family Project



Eunpyeong Multiculture Talk talk School



Children's dream at No-Disorder Playground



Eunpyeong Children orchestra



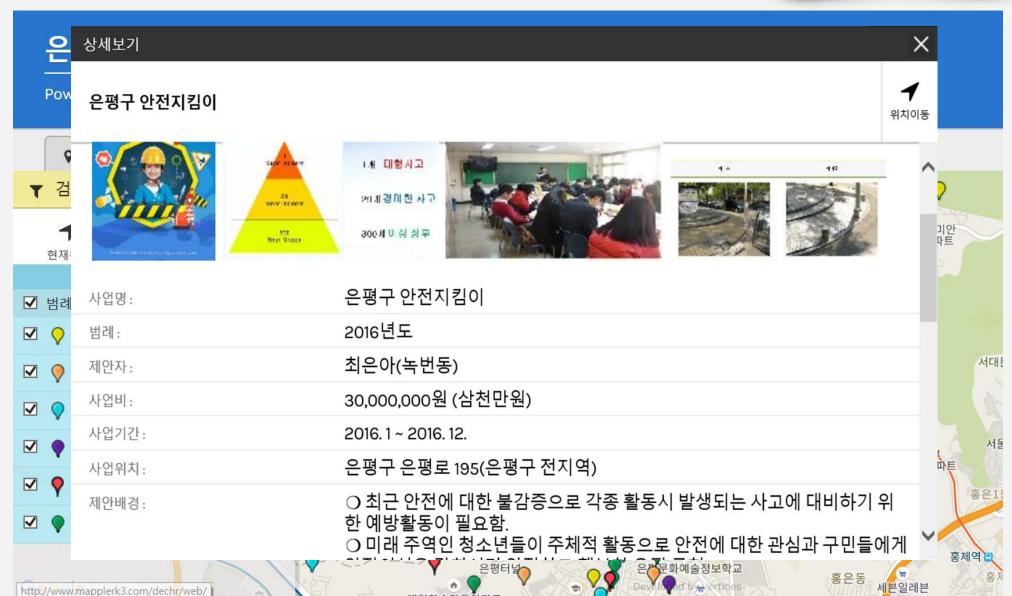
Fantasy theater visiting school



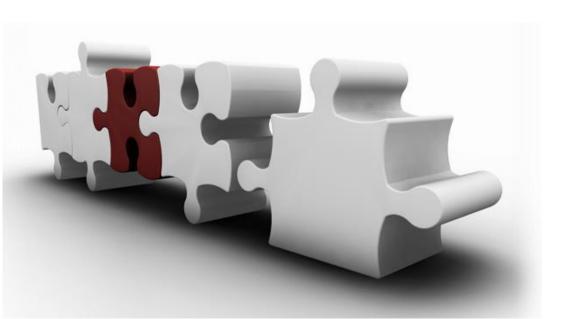
Gusan-town Library Village, drawn in cartoon

Participatory Budgeting Project Proposal Map(2012~2017)





4. For New Evolution



1) Terminating District Projects by Residents



Residents select district projects to be terminated with the reviews by the PB Committee and public forums about similar or overlapping projects or those which are less effective.

Relevant Projects

- ▶ Projects which are less effective
- ▶ Projects which are not necessary anymore
- ► Similar or Overlapping projects

Process



2) Process of Finding, Selecting, Executing and Evaluating Agenda Proposed by Residents (2020)



Stage 1	Stage 2	Stage 3	Stage 4	Stage 5	Stage 6
Collecting Opinions (2019.10~2020.1)	Making Policy (2020.2~3)	Agenda Review (2020.3)	General Meeting(Voting) (2020.4~5)	Creating Policy Project (2020.6~9)	
Survey result (UN SDGs)Finding agenda from	Drafting Policy Agenda Proposal	Review by Units	➤ Pre-Voting	Public forums for creating projects	Execution (2021)
16 Towns ▶ On/Offline proposals	Discussion at sub-committees	PB Committee (Selecting agenda for General	(On/Offline) ➤ Resident General Meeting	TF teams for agenda deliberation	Stage 7
► Classification ⇒ Classifying by agenda	Presentation of agenda at Units	Meeting Voting)	➤ Teen General Meeting	Meeting for sharing Project	Evaluation (2021)
Public forums for each agenda	PB Committee			Plans	



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